## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE REQUEST FOR FILING NATIONAL PHASE OF

PCT APPLICATION UNDER 35 U.S.C. 371 AND 37 CFR 1.494 OR 1.495

To:	Asst. Commissioner of Patents and Trademarks Washington, D.C. 20231		(Our Deposit A	Account No. 03-3	975 7 3	3/1240-		
	SMITTAL LETTER TO THE UNITED S NATED/ELECTED OFFICE (DO/EO/		Atty Dkt: P	<b>VI</b> 271885 <u>M#</u>	/SMC60281/U /Client Ref.	ST		
From:	Pillsbury Madison & Sutro LLP, IP	Group:	Date: (TUES	SDAY) = Septem	ber 5, 2000			
	This is a <b>REQUEST</b> for <u>FILING</u> a Po	CT/USA National I	Phase Application	based on:				
1.	International Application	2. Internation	al Filing Date	3. Earliest	Priority Date CI	aimed		
•	PCT/GB99/00425	25 FE Day <u>M</u>	B 1999 <u>ONTH</u> Year	03 Day	MAR 199 MONTH of 2 if no earlier p	Year		
4.	Measured from the earliest priority of filed within:	late in item 3, this	PCT/USA Nation					
	(a) 20 months from above item 3	date (b) 🛭 3	0 months from ab	ove item 3 date,				
	(c) Therefore, the due date ( <u>unextendable</u> ) is (SUNDAY) = September 3, 2000 (MONDAY) = September 4, 2000 = HOLIDAY)							
5 <sub>1</sub> U	Title of Invention WATER SOLUBLE FILMS							
6. i	Inventor(s) PADGET, John C., et	<u>al</u>						
1 12	ant herewith submits the following und	der 35 U.S.C. 371	to effect filing:					
7. T	☑ Please immediately start national examination procedures (35 U.S.C. 371 (f)).							
8. 🗖	☑ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is transmitted herewith (file if in English but, if in foreign language, file only if not transmitted to PTO by the International Bureau) including:							
	<ul> <li>a. ⊠ Request;</li> <li>b. ⊠ Abstract;</li> <li>c. <u>34</u> pgs. Spec. and Claims;</li> <li>d sheet(s) Drawing which are</li> </ul>	☐ informal ☐ fo	rmal of size 🔲 A	.4 🗌 11"				
9.		plication has be	en transmitted by	y the Internation	nal Bureau.			
10.	b. is not required, as the a c. is not herewith, but will in Notice per Rule 494(c) is	ncluding: (1)  Fand Claims; rawing which are: informal for for pplication was file to filed when required to foce the filed when required to foce the filed when required the the filed when r	rmal of size A d in English. hired by the forthor r Rule 495(c) if bo	bstract; .4 □ 11" oming PTO Miss	ing Requiremer	nts		
	d.  Translation verification a	attached (not requ	ired now).					

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11	$\square$ _	PLEASE AMEND the specification before its first line by inserting as a separate paragraph:				
131	a. ⊠	This application is the national phase of international application PCT/GB99/00425  filed February 25, 1999 which designated the U.S				
-	b. 🗌	-This application also claims the benefit of U.S. Provisional Application No.				
12.		60/, filed Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)), i, bef re 18th month from first pri rity date ab ve in item 3, are transmitt d herewith (file only if in English) including:				
13.	$\boxtimes$	PCT Article 19 claim amendments (if any) have been transmitted by the International Bureau				
14.		Translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)), i, of claim amendments made before 18th month, is attached (required by 20th month from the date in item 3 if box 4(a) above is X'd, or 30th month if box 4(b) is X'd, or else amendments will b considered canceled).				
15.	A dec a. □ b. ⊠	laration of the inventor (35 U.S.C. 371(c)(4)) is submitted herewith ☐ Original ☐ Facsimile/Copy is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.				
		ternational Search Report (ISR): s prepared by				
(J) 1711	Intern	national Preliminary Examination Report (IPER):				
Į.	a. \( \sum \) has been transmitted (if this letter is filed after 28 months from date in item 3) in English by the International Bureau with Annexes (if any) in original language.					
	b. 🖂	copy herewith in English.				
	c.1 🗀	IPER Annex(es) in original language ("Annexes" are amendments made to claims/spec/drawings during Examination) including attached amended:				
₽! <b>!</b>	c.2 🛚	Specification/claim pages # <u>31-34</u> claims # <u>25-45</u>				
in the state of th	d. 🗀	Dwg Sheets # Translation of Annex(es) to IPER (required by 30 <sup>th</sup> month due date, or else annexed amendments will be considered canceled).				
14 14		<del></del>				
18 <u>.</u>	Inforr a. ⊠ b. ⊠ c. ⊠	nation Disclosure Statement including:  Attached Form PTO-1449 listing documents  Attached copies of documents listed on Form PTO-1449  A concise explanation of relevance of ISR references is given in the ISR.				
19.		<b>Assignment</b> document and Cover Sheet for recording are attached. Please mail the recorded assignment document back to the person whose signature, name and address appear at the end of this letter.				
20.		Copy of Power to IA agent.				
21.		<b>Drawings</b> (complete only if 8d or 10a(4) not completed): _ sheet(s) per set: ☐ 1 set informal; ☐ Formal of size ☐ A4 ☐ 11"				
22.		(No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27				
23.	filed i	ity is hereby claimed under 35 U.S.C. 119/365 based on the priority claim and the certified copy, both n the International Application during the international stage based on the filing puntry) Great Britain of:  pplication No. Filing Date  Application No. Filing Date				
(1)	9804 <u>4</u>	56.3 03 MAR 1998 (2) 9805250.9 12 MAR 1998				
(3)		(4) (6)				
(5)	a. 🗵	See Form PCT/IB/304 sent to US/DO with copy of priority documents. If copy has not been				
	ьГ	received, please proceed promptly to obtain same from the IB.  Copy of Form PCT/IB/304 attached.				

Attached: Form PCT/IB/306 - Notification of the Recording of a Change 24.

25.	Preli	imina	ary Amendmen	t:							
25.5	Per l	tem	17.c2, <u>cancel</u>	<u>riginal</u> page	s #, claim	ns #, Draw	ing Sh	eets#			
26. Based	<u>Calc</u> on <u>am</u>	ulati nende	on of the U.S. I	National Fee above item(s	(35 U.S.C.	<b>371 (c)(1)) a</b> i 14, ☐ 17, [	<u>nd oth</u> ☐ 25,	er fees is as	follows e)	<u>:</u>	
Total E Indepe If any p	ndent	Clair		ultiple Deper	minus 20 = minus 3 = ndent claim i			x \$18/\$9 x \$78/\$39 add\$260/\$13	= \$0 = \$0 80 +0		966/967 964/965 968/969
BASIC	NATI	ONA	L FEE (37 CFR	1.492(a)(1)-	(4)): <b>→→</b> BA	ASIC FEE RE	EQUIR	ED, <u>NOW</u> →	<b>*</b>	ı	
A.	If country code letters in item 1 are <u>not "US", "BR", "BB", "TT", "MX", "IL" "NZ", "IN" or "ZA"</u>										
	<u>See</u> 1. 2.	Sea	<u>16 re:</u> rch Report was rch Report was	not prepared prepared by	l by EPO or EPO or JPO	JPO		add\$970/\$48 add\$840/\$42	35 20 <u>+8</u>	<b>↓</b> 40	960/961 970/971
	, C, D	AND	E UNLESS coun	try code lette	ers in item 1 a	are "US","BR'	","BB"	,"TT","MX","II	<u>L", "NZ",</u>	"IN" or "	'ZA"
		B.	If <u>USPTO</u> did (ISR) <u>and</u> (if be Examination R	ox 4(b) abov	e is X'd) the	International		add\$970/\$48	35 +0 —		960/961
(on) (on) (of)		C.	If <u>USPTO</u> issu X'd),	ed ISR but n	ot IPER (or	box 4(a) abov	ve is	add\$690/\$34	45 <u>+0</u>	)	958/959
(these) ( 4) => (boxes)		D.	If <u>USPTO</u> issu YES,	ed IPER but	IPER Sec. \	√ boxes <u>not a</u>	<u>all</u> 3 	add\$670/\$3	35 <u>+0</u>	)	956/957
		E.	If international USPTO and R Sec. V all 3 bo	kules 492(a)(	4) and 496(t	o) <u>satisfied</u> (IF	PER	add \$96/\$48	3 <u>+0</u>	)	962/963
27.								SUBTOTAL	.= <u>\$8</u>	340	
28.	If A	ssign	ment box 19 ab	ove is X'd, a	dd Assignm	ent Recordino	g fee o	f\$40	+(	)	(581)
29.	Atta	ached	d is a check to c	over the				TOTAL FEE	ES <u>\$8</u>	340	
	Our Our	Dep Ord	osit Account No	o. 03-3975 0662	· *	271885	M#				
filed, or v	which sho fter relati	ould have ve to thit this she	The Commissioner is here been filed herewith or is application and the reset is attached.  ENT does not authorize	concerning any pa sulting Official docu	per filed hereafter, iment under Rule 2	and which may be in the control of t	required u rpayment,	, to our Account/Ord	N 432 (111133)	nig or mounic	ACINCIOC CINEY NOW
				Pillsbi Intelle	ury Madisor ctual Prope	n & Sutro LL erty Group	P				
1100	New Y	ork A	venue, NW	By Att	y: Paul N	. Kokulis	<i></i>		Reg. N	o. <u>16</u>	773
Tel: (2		31-300		Sig:		M 1.		<u> </u>	Fax: Tel:	(20) (20)	2) 822-0944 2) 861-3503

NOTE: File in <u>duplicate</u> with 2 postcard receipts (PAT-103) & attachments.